Office of the Speaker	
- NTONIO R. UNPINGC	(
Date. 11/19/99	
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# NOV 1 9 1999

The Honorable Antonio R. Unpingco Speaker I Mina'Bente Singko na Liheslaturan Guåhan Twenty-Fifth Guam Legislature Guam Legislature Temporary Building 155 Hesler Street Hagåtña, Guam 96910

Dear Speaker Unpingco:

Enclosed please find Substitute Bill No. 304 (COR), "AN ACT TO ADD §10108(0) TO ARTICLE 1, CHAPTER 10 OF TITLE 5 OF THE GUAM CODE ANNOTATED, RELATIVE TO DISAPPROVING THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS", which I have signed into law today as Public Law No. 25-80.

This legislation disapproves all lists made by the Department of Corrections in i Liheslatura's futile exercise to enumerate specific releasable documents rather than establish adequate general guidelines for the release of information to the public. The legislation adds one item which should not be released to the public: "for the Department of Corrections' Internal Affairs Unit, the radio logs and tapes." This item may or may not be already included within the general exemption category "records of intelligence information or security procedures of. . . law enforcement agencies. (See Public Law No. 25-06)"

Very truly yours,

Carl T. C. Gutierrez I Maga'Lahen Guåhan Governor of Guam

#65440 4:45 pm 11: 10 00

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Attachment: copy attached for signed bill or overridden bill original attached for vetoed bill

cc: The Honorable Joanne M. S. Brown Legislative Secretary

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And so has stall

# MINA'BENTE SINGKO NA LIHESLATURAN GUAHAN 1999 (FIRST) Regular Session

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# CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUAHAN

This is to certify that Substitute Bill No. 304 (COR) "AN ACT TO ADD §10108(o) TO ARTICLE 1, CHAPTER 10 OF TITLE 5 OF THE GUAM CODE ANNOTATED, RELATIVE TO *DISAPPROVING* THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS," was on the 5<sup>th</sup> day of November, 1999, duly and regularly passed.

Attested:	ANTONIO R. UNPINGCO Speaker
JOANNE M.S. BROWN Senator and Legislative Secretary	
This Act was received by <i>I Maga'lahen Guahan</i> this at6'???o'clockM.	Echita Ter Gunp
	Assistant Staff Officer Maga'lahi's Office

APPROVED:

~~ CARL T. C. GUTIERREZ

I Maga'lahen Guahan

Date: \_\_\_\_\_ 11 - 19 - 99

Public Law No. 25-80

# MINA'BENTE SINGKO NA LIHESLATURAN GUÅHAN 1999 (FIRST) Regular Session

### Bill No. 304 (COR)

As substituted on the Floor and amended in the Committee of the Whole.

Introduced by:

,

J. C. Salas F. B. Aguon, Jr. <u>E. C. Bermudes</u> A. C. Blaz J. M.S. Brown E. B. Calvo M. G. Camacho Mark Forbes L. F. Kasperbauer A. C. Lamorena, V C. A. Leon Guerrero K. S. Moylan V. C. Pangelinan S. A. Sanchez, II A. R. Unpingco

AN ACT TO *ADD* §10108(6) TO ARTICLE 1, CHAPTER 10 OF TITLE 5 OF THE GUAM CODE ANNOTATED, RELATIVE TO *DISAPPROVING* THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS.

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### BE IT ENACTED BY THE PEOPLE OF GUAM:

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2 Section 1. Legislative Findings and Intent. The Sunshine Reform Act of 1999 became Public Law Number 25-06 on May 12, 1999, changing Guam 3 statute governing the disclosure of public records. Public Law Number 25-06 4 5 requires that each agency submit to I Liheslaturan Guåhan a proposed list of 6 exemptions to the public disclosure requirements. In accordance with 7 §10106(b) of Title 5 of the Guam Code Annotated, as repealed and reenacted 8 by Public Law Number 25-06, the Department of Corrections ("DOC") 9 submitted to I Liheslaturan Guåhan a listing of documents and information 10 said agency would like to see exempted from the disclosure requirements of 11 the Sunshine Reform Act. Section 10106(b) of Title 5 of the Guam Code 12 Annotated further requires I Liheslaturan Guåhan to either approve or 13 disapprove said list.

This Act is intended to *disapprove* all submitted lists by DOC, and specifically approve an exemption contained under §10108. The disapproval of the submitted list is *not* intended to disapprove an exemption created in the Sunshine Reform Act, or as created herein, for DOC.

Section 2. Disapproval of DOC Proposed Exemptions. The Department of Corrections' July 12, 1999 list of proposed exemptions submitted pursuant to the requirements of the Sunshine Reform Act of 1999 is hereby *disapproved*, but shall *not* affect the existing limitations already contained within the Sunshine Reform Act of 1999, including within §10108, and their applicability to said agency.





### MINA' BENTE SINGKO NA LIHESLATURAN GUÅHAN TWENTY-FIFTH GUAM LEGISLATURE 155 Hesler Street, Hagåtña, Guam 96910

November 8, 1999

Honorable Carl T.C. Gutierrez I Maga'lahen Guahan Office of I Maga'lahi Hagåtna, Guam 96910

Dear Maga'lahi Gutierrez:

<sup>V</sup> Transmitted herewith are Substitute Bill, Nos. 334(COR), 304(COR), 305(COR), 291(COR), 294(COR), 302(COR) and Bill No. 303(COR), which were passed by *I Mina'Bente Singko Na Liheslaturan Guahan* on November 5, 1999.

Sincerely,

The 18 22

Enclosures (7)

IOANNE M.S. BROWN

Senator and Legislative Secretary

# CLERK OF THE LEGISLATURE TRANSMISSION CHECKLIST TO I MAGA'LAHEN GUAHAN (Included in File w/ All Bills Transmitted)

۶ł

BILL NO.

and Date:

# FINAL PROOF-READING OF BLUEBACK COPY

Initialed by:

EXHIBITS ATTACHED

**CONFIRM NUMBER OF PAGES** 

CAPTION ON CERTIFICATION MATCHES BILL CAPTION

ENGROSSED SIGN "\*" REMOVED FROM BILL

15 SENATORS IN SPONSORSHIP OR CONFIRM OTHERWISE

CERTIFICATION SIGNED BY SPEAKER & LEGIS. SECRETARY

**EMERGENCY** DECLARATION, if any

Confirmed By: \_\_\_\_\_ Dated: \_\_\_\_\_

HAND CARRY BILL IN BLUEBACK (ORIGINAL & COPY)
 TO THE GOVERNOR. (DANNY, ROBERT OR OTHERS )
 ACKNOWLEGED COPY W/ ORIGINAL BLUEBACK
 PLACED ON CLERK'S DESK. (Same copy given to Susan)

FILED by: Danny, Robert or others

# MINA'BENTE SINGKO NA LIHESLATURAN GUAHAN 1999 (FIRST) Regular Session

# CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUAHAN

This is to certify that Substitute Bill No. 304 (COR) "AN ACT TO *ADD* §10108(o) TO ARTICLE 1, CHAPTER 10 OF TITLE 5 OF THE GUAM CODE ANNOTATED, RELATIVE TO *DISAPPROVING* THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS," was on the 5<sup>th</sup> day of November, 1999, duly and regularly passed.

NTONIO R. UNPINGCO Speaker

Attested:

Senator and Legislative Secretary

Assistant Staff Officer Maga'lahi's Office

APPROVED:

CARL T. C. GUTIERREZ I Maga'lahen Guahar:

Date:\_\_\_\_\_

Public Law No. \_\_\_\_\_

# MINA'BENTE SINGKO NA LIHESLATURAN GUAHAN 1999 (FIRST) Regular Session

# CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUAHAN

This is to certify that Substitute Bill No. 304 (COR) "AN ACT TO *ADD* §10108(o) TO ARTICLE 1, CHAPTER 10 OF TITLE 5 OF THE GUAM CODE ANNOTATED, RELATIVE TO *DISAPPROVING* THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS," was on the 5<sup>th</sup> day of November, 1999, duly and regularly passed.

# ANTONIO R. UNPINGCO Speaker

Attested:

# JOANNE M.S. BROWN Senator and Legislative Secretary

.....

This Act was received by I Maga'lahen Guahan this \_\_\_\_\_ day of \_\_\_\_\_, 1999,

at \_\_\_\_\_\_ o'clock \_\_\_\_.M.

Assistant Staff Officer Maga'lahi's Office

**APPROVED:** 

CARL T. C. GUTIERREZ I Maga'lahen Guahan

Date: \_\_\_\_\_

Public Law No. \_\_\_\_\_

# I MINA' BENTE SINGKO NA LIHESLATURAN GUAHAN

1

1999 (FIRST) Regular Session

-5 Date://

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**VOTING SHEET** 

5 Bill No. 304 (COR)

Resolution No. \_\_\_\_\_ Question:

NAME	YEAS	NAYS	NOT VOTING <u>/</u> ABSTAINED	OUT DURING ROLL CALL	ABSENT ROLL CALL
AGUON, Frank B., Jr.					EAL
BERMUDES, Eulogio C.		$\checkmark$			
BLAZ, Anthony C.	V				
BROWN , Joanne M.S.	V				
CALVO, Eduardo B.	V				
CAMACHO, Marcel G.	V				
FORBES, Mark	L				
KASPERBAUER, Lawrence F.	V				
LAMORENA, Alberto C., V	$\checkmark$				
LEON GUERRERO, Carlotta A.	$\sim$		,		
MOYLAN, Kaleo Scott	V				- 2.5 'UNIN - 2.
PANGELINAN, Vicente C.	L				
SALAS, John C.	$\checkmark$				,
SANCHEZ, Simon A., II	$\checkmark$				
UNPINGCO, Antonio R.	$\checkmark$				

TOTAL

13 1

\* 3 Passes = No vote EA = Excused Absence

CERTIFIED TRUE AND CORRECT:

Clerk of the Legislature



### MINA' BENTE SINGKO NA LIHESLATURAN GUÅHAN TWENTY-FIFTH GUAM LEGISLATURE

155 Hesler Street, Hagåtña, Guam 96910

<u>September 28, 1999</u> (DATE)

Memorandum

To: Senator JOHN C. SALAS

From: Clerk of the Legislature

Subject: Report on Bill No. <u>304 (COR)</u>

Pursuant to §7.04 of Rule VII of the 25<sup>th</sup> Standing Rules, transmitted herewith is a copy of the Committee Report on Bill No. <u>304 (COR)</u>, for which you are the prime sponsor.

Should you have any questions or need further information, please call the undersigned at 472-3464/5.

øsephine Blennan-Badley

Attachment

WLEDCEMENT REC



# COMMITTEE ON JUDICIARY, PUBLIC SAFETY, CONSUMER PROTECTION, AND HUMAN RESOURCES DEVELOPMENT I MINA'BENTE SINGKO NA LIHESLATURAN GUÅHAN

JOHN CAMACHO SALAS, CHAIRMAN

September 26, 1999

The Honorable Antonio R. Unpingco, Speaker Mina' Bente Singko na Liheslaturan Guahan 155 Hesler Street Hagatna, Guam 96910

Dear Mr. Speaker:

The Committee on Judiciary, Public Safety, Consumer Protection & Human Resources Development to which was referred Bill No. 304, has had the same under consideration and now wishes to report back the same with the recommendation **TO DO PASS**.

The Committee votes are as follows:

To Do Pass	<u>_</u>
Not To Pass	
Abstain	
Other (Off-Island)	

A copy of the Committee's report and other pertinent documents are attached for your reference and information.

Sincerely,

nator John Camacho Salas /
Chairman



# SENATOR JOHN CAMACHO SALAS CHAIRMAN

COMMITTEE ON JUDICIARY, PUBLIC SAFETY, CONSUMER PROTECTION AND HUMAN RESOURCES DEVELOPMENT

# VOTING SHEET

#### BILL NUMBER 304

TITLE AN ACT TO APPROVE THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS

то NOT TO INACT ABSTAIN **DO PASS** PASS FΠ Jøhn Camacho Salas, Chairman vlan, Vice-Chairman 2 Frank B. Aguóń, Jr., Member Joanne M.S. Brown / Member Mark Forbes, Member Alberto C. Lamorena V. Member Carlotta A. Leon Guerrero, Member

Antonio R. Unpingco, Ex-Officio



# COMMITTEE ON JUDICIAKY, PUBLIC SAFETY, CONSUMER PROTECTION, AND HUMAN RESOURCES DEVELOPMENT I MINA'BENTE SINGKO NA LIHESLATURAN GUÅHAN

JOHN CAMACHO SALAS, CHAIRMAN

September 25, 1999

The Honorable Speaker Antonio R. Unpingco I Mina'Bente Singko na Liheslaturan Guahan 155 Hesler Street Hagatna, Guam 96910

Dear Speaker Unpingco:

The Committee on Judiciary. Public Safety, Consumer Protection and Human Resources Development hereby requests that you waive the public hearing requirement pursuant to §2103 of Title 2 of the Guam Code Annotated, *Public Hearings Mandatory*, for Bills 303, 304, 305, 306, 307, 308, 309, 310, 311 and 319. All are acts to approve the list of Sunshine Reform Act exemptions submitted by agencies under this Committee's oversight.

\$2103 of Title 2 of the Guam Code Annotated, Public Hearings Mandatory, waives the requirment for a public hearing if a bill is identical to an earlier introduced bill having a public hearing. The above bill does not meet the letter of this requirement, but the substance of the above bill was dicussed in an open, public hearing on August 13, 1999, pursuant to Public Law 25-06. Public Law 25-06 is unclear on the treatment of Sunshine Reform Act exemptions and numerous Committees may be facing the same situation. Therefore, I request that you waive the public hearing requirement for the above bill.

Thank you for your favorable consideration to this matter.

Sincerely. C. John John Camacho Sala Chairman Approved ONIO R. UNPINGCO AN Speaker

Committee on Judiciary, Public Safety, Consumer Protection, and Human Resources Development Committee Report on Bill 304 Publicly Heard Friday, August 13, 1999

Bill 304: An Act to approve the list of Sunshine Reform Act exemptions submitted by the Department of Corrections.

ATTENDANCE
 Senator John C. Salas, Chairman

#### II. MAIN SPONSORS Senator John C. Salas

#### III. BACKGROUND

The Sunshine Reform Act of 1999 became Public Law 25-06 on May 12, 1999 changing Guam statute governing the disclosure of public records. Public Law 25-06 requires that each agency submit to *I Liheslaturan Guahan* a proposed list of exemptions to the public disclosure requirements. In accordance with §10106(b) of Public Law 25-06, the Department of Corrections (DOC), submitted to *I Liheslaturan Guahan* its proposed exemptions from the disclosure requirements of the Sunshine Reform Act.

Public Law 25-06 is unclear on the treatment of Sunshine Reform Act exemptions. While the Act requires a public hearing be held on exemptions to the Act, it does not stipulate how these lists are to be managed. On August 13, 1999 a public hearing was held to publicly discuss the list of exemptions for DOC. Legal Counsel for *I Liheslaturan Guahan* later advised the Committee to provide these exemptions in bill format, as Legislative Standing Rules restrict *I Liheslaturan Guahan* to entertaining bills and resolutions.

During the public hearing, the Committee scrutinized DOC's proposed exemptions and instructed DOC to provide clarification of proposed exemptions. It is with this information that Bill 304 was drafted and filed with *I Liheslaturan Guahan*. On September 25, 1999, the Committee requested that the Speaker of *I Liheslaturan Guahan* waive the public hearing requirement for Bill 304, pursuant to §2103, Title 2 of the Guam Code Annotated. While Bill 304 does not meet the letter of this requirement, the substance of the bill was discussed in an open, public hearing; thus, a public hearing on the bill itself would be redundant.

#### IV. TESTIMONY

**Chairman Salas** instructed DOC to explain each item of their proposed list of exemptions, giving clarification and rationale for inclusion. He noted that he would closely scrutinize the list based on privacy and legal-liability issues. He also noted that the Micronesian Chapter of the Society of Professional Journalists (MCSPJ) requested to testify in opposition of P.L. 25-06. Chairman Salas noted, however, that the purpose of the hearing is not to discuss P.L. 25-06, but invited representatives of the organization to testify on the specific list DOC is presenting during the hearing.

Deputy Director Ted Lewis and Legal Counsel Calvin Halloway, Sr. were present to discuss the list of exemptions.

- A) Administrative Records
  - 1) Request for personnel action (GG-1). Clearly understood.
  - 2) Department Staffing Pattern. Chairman and Deputy Director agreed to delete.
  - 3) Personnel data card and personnel statistical information sheet. Clearly understood.
  - 4) Adverse action proceedings. Clearly understood.
  - 5) Employee counseling files. Clearly understood.
  - 6) Employees letters of reprimand(s). Clearly understood.

- Employee Performance Evaluation form. Clearly understood.
- 8) Employee personnel packet/file. Clearly understood.
- 9) Department's Radio Code Assignment Roster. Clearly understood.
- 10) Corrections Official Badge Assignment Roster. Deputy Director Ted Lewis noted that with the roster, it would be very easy to order a badge and impersonate an officer. Agreed to keep exempt.
- 11) Employees time sheet/pay records. Clearly understood.
- 12) Employees identification card file. Clearly understood.
- 13) Employees notification of personnel action. Clearly understood.
- 14) Civil Service Commission Ruling/Settlement Agreement. Chairman Salas noted that this item would be public once the settlement is reached. Chairman Salas asked for clarification.
- 15) Legal proceedings/actions against employees. Clearly understood.
- 16) Employer investigation reports. Clearly understood.
- 17) Any document showing Social Security number with the person's name. Clearly understood.
- 18) Certification list of eligibles. Clearly understood.
- 19) Employment interview/certification list. Clearly understood.
- 20) Personnel incident reports. Clearly understood.
- 21) Leave Forms. Chairman Salas asked for clarification.
- 22) Overtime Justifications. Agreed to delete this item.
- 23) Payroll deductions/cancellation authorization. Clearly understood.
- 24) Employment verification. Clearly understood.
- 25) Vouchers from Various Vendors. Chairman Salas noted that since public funds were used to purchase items for DOC, these should not be exempt.
- 26) Requisitions/Log Book. Chairman Salas noted that since public funds were used to purchase items for DOC, these should not be exempt.
- 27) Purchase Orders/Vouchers. Chairman Salas noted that since public funds were used to purchase items for DOC, these should not be exempt.
- 28) Financial statement/check stubs. Inmate's checking account for obligations.
- 29) Checking account/bank statements. Inmate's checking account for obligations.
- B) Detention Facility Division Records. (All items kept exempt)
  - 1) Detainee Unit Transfer.
  - 2) Detainee Incident Report.
  - 3) Detainee Medication Dispensing Log.
  - 4) Detainee Medical Records.
  - 5) Detainee Telephone Log.
  - 6) Detainee Request for Administrative Remedy.
  - 7) Detainee Cell/Wing/Cubicle Transfer.
  - 8) Detainee Observation Report.
  - 9) Detainee U.S. Mail Log.
  - 10) Escort/Transport-Prisoner Custody Alert Notice.
  - 11) Prisoner Remand or Order to Deliver and Receipt for Guam Prisoners.
  - 12) Visiting Application Form.
  - 13) Detainee/Inmate Incident Report.
  - 14) Suicide/Safety Watch Recording Log.
  - 15) Administrative Segregation and Release Order.
  - 16) Administrative Segregation Review Form.
  - 17) Special Housing Unit Segregation Record Sheet.
  - 18) Transfer Order.
  - 19) Administrative Remedy-Informal Resolution Form.
  - 20) Arrestee Booking Record.
  - 21) Arrestee Fingerprint Card.
  - 22) Arrestee Intake Sheet.
  - 23) Arrestee Screening Officer Check List.
  - 24) Arrestee Commitment Sheet.
  - 25) Arrestee Receiving Screening Form.
  - 26) Arrestee Waiver for Medical Treatment.
  - 27) Citation and Notice to Appear.
  - 28) Transfer of Custody Forms.

- 29) Pre-trial Detention Certificate.
- 30) Detainee Arrestee Index Cards.
- 31) Detainee Medical Records.
- 32) Detainee Court Documents.
- C) Adult Correctional Facility Division Records. (All items kept exempt)
  - 1) Inmate Unit Transfer.
  - 2) Inmate Incident Report.
  - 3) Inmate Medication Dispensing Log.
  - 4) Inmate Telephone Log.
  - 5) Inmate Request for Administrative Remedy.
  - 6) Inmate Cell/Wing/Cubicle Transfer.
  - 7) Inmate Observation Report.
  - 8) Inmate U.S. Mail Log.
  - 9) Escort/Transport-Prisoner Custody Alert Notice.
  - 10) Prisoner Remand or Order to Deliver and Receipt for Guam Prisoners.
  - 11) Visiting Application Form.
  - 12) Detainee/Inmate Incident Report.
  - 13) Suicide/Safety Watch Recording Log.
  - 14) Administrative Segregation and Release Order.
  - 15) Administrative Segregation Review Form.
  - 16) Special Housing Unit Segregation Record Sheet.
  - 17) Transfer Order.
  - 18) Administrative Remedy-Informal Resolution Form.
- D) Parole Services Division Records (<u>All items kept exempt</u>)
  - 1) Board ordered parole investigations.
  - 2) Chronological case entries.
  - 3) Community Assessments Forms and written testimony of crime victims and interested parties.
  - 4) Minutes of Guam Parole Board meetings.
  - 5) Pardon/Commutation Studies.
  - 6) Personnel Incident File.
  - 7) Personnel Performance Evaluations.
  - 8) Preparole Studies.
  - 9) Parole Services Division Work Sheet Form I.
  - 10) Surveillance Reports.
- E) DATS Division Records. (All items kept exempt)
  - Inmate/detainee medical records.
  - 2) Medical history.
  - 3) Laboratory results.
  - 4) Chest x-rays.
  - 5) Physical examinations.
  - 6) Nurse's health assessment.
  - 7) Informed consent.
  - 8) Physician's orders.
  - 9) Medication logbook record.
  - 10) Physician's progress notes.
  - 11) Medical staff progress notes.
  - 12) Inmate/detainee dental records.
  - 13) Dental assessment history.
  - 14) Dental x-rays.
  - 15) Dental treatment performed.
  - 16) Health questionnaires.
  - 17) Dentist's orders.
  - 18) Inmate/detainee psychological record.
  - 19) Substance abuse record.
  - 20) Psychological assessments.
  - 21) Substance abuse assessments/intake.
  - 22) Psychologist's consultation/assessment.
  - 23) Psychologist's progress notes.

- 24) Counselor's progress notes.
- 25) Clinical evaluations/assessments review.
- 26) Psychological evaluations.
- 27) Psychological testings.
- 28) Drug test results.
- 29) Drug test logbook record.
- F) Internal Affairs Unit Records (<u>All items kept exempt</u>)
  - 1) Intelligence reports.
  - 2) Radio logs and tapes.
  - 3) Internal Affairs investigation reports.
  - 4) Narcotics operation records.
  - 5) All Department of Corrections daily log books.
  - 6) Department of Corrections security procedures and techniques, which if exposed would adversely affect correctional objectives.
  - 7) Confidential correspondence between the Department of Corrections and other agencies, local and federal.
  - 8) Records of a criminal or confidential nature received by the Department of Corrections from other local and federal agencies.
  - 9) Privileged communications between legal counsel for the Department of Corrections and Department of Corrections management/staff.
  - 10) Adverse action settlement agreements between the Department of Corrections management and staff.
  - 11) Records pertaining to government claims filed against the Department of Corrections.
  - 12) Records pertaining to investigations conducted by the Department of Corrections Internal Affairs Unit.
- G) Casework & Counseling Services Division Records (All items kept exempt)
  - 1) Academic grades of inmates.
  - 2) Correction Social Worker's Chronological Log
  - 3) Family interview log.
  - 4) Inmaté profile sheet.
  - 5) Internal memoranda.
  - 6) Guam Police Department rap sheet/police reports.
  - 7) Pre-sentence investigation report.
  - 8) Initial case study.
  - 9) Extension Limits of Confinement application/verification log.
  - 10) Progress reports for courts or other government agencies.
  - 11) Inmate work detail evaluation.
  - 12) Casework & Counseling Division status reports.
  - 13) Adjustment Classification Committee findings & recommendations.
  - 14) Incarcerated inmates' address of family, relatives and friends.
  - 15) Incarcerated inmates' name(s) of co-defedant.
  - 16) Work/Education Release inmates' infractions of institutional rules and/or regulations.
  - 17) Director/Employer and/or Education Release agreement signed by Work/Education Release inmate.

After receiving clarification from DOC on August 31, 1999, the Committee decided to strike from the list of exemptions the following items:

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Department Staffing Pattern. 1)

- Leave Forms.
- 2) 3) Overtime Justifications.
- 4) 5) Vouchers from Various Vendors.
- Requisitions/Log Book. Purchase Orders/Vouchers. 6)

#### V. **COMMITTEE FINDINGS & RECOMMENDATION**

Except for the 6 items identified in the report, the Committee feels that the proposed exemptions are reasonable, and in the best interest of Department of Corrections, and the public at large. Therefore, the Committee recommends TO DO PASS Bill 304.



# MINA' BENTE SINGKO NA LIHESLATURAN GUÅHAN

Kumitean Areklamento, Refotman Gubetnamento Siha, Inetnon di Nuebu, yan Asunton Fidirat

Senator Mark Forbes, Chairman Kabisiyon Mayuråt

SEP 1 3 1999

15 0 13

### **MEMORANDUM**

TO: Chairman Committee on Judiciary, Public Safety, Consumer Protection and Human Resources Development

FROM: Chairman Committee on Rules, Government Reform, Reorganization and Federal Affairs

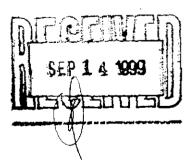
SUBJECT: Principal Referral - Bill No. 304

The above bill is referred to your Committee as the Principal Committee. In accordance with Section 6.04.05. of the Standing Rules, your Committee "shall be the Committee to perform the public hearing and have the authority to amend or substitute the bill, as well as report the bill out to the Body." It is recommended that you schedule a public hearing at your earliest convenience.

Thank you for your attention to this matter.

MARK FORBES Chairman





SEP 0 8 1999

# MINA'BENTE SINGKO NA LIHESLATURAN GUAHAN 1999 (FIRST) Regular Session

Bill No. <u>304</u> (COR)

Introduced by:

AN ACT TO APPROVE THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS.

### 1 BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Findings and Intent. The Sunshine Reform Act 2 of 1999 became Public Law 25-06 on May 12, 1999 changing Guam statute 3 governing the disclosure of public records. Public Law 25-06 requires that 4 each agency submit to I Liheslaturan Guahan a proposed list of exemptions to 5 the public disclosure requirements. In accordance with Section 10106(b) of 6 Public Law 25-06, the Department of Corrections, submitted to I Liheslaturan 7 *Guahan* a list of documents said agency would like to see exempted from the 8 disclosure requirements of the Sunshine Reform Act. Section 10106(b) of 9 10 Public Law 25-06 further requires I Liheslaturan Guahan to either approve or 11 disapprove said list within ninety days after submission.

Section 2. The list of proposed exemptions to the Sunshine Reform Act
 submitted by the Department of Corrections is hereby approved.

14		DEPARTMENT OF CORRECTIONS
15		ITEMS NOT SUBJECT TO DISCLOSURE
16	A)	Administrative Records
17		1) Request for personnel action (GG-1).

		t
1	2)	Personnel data card and personnel statistical information
2		sheet.
3	3)	Adverse action proceedings.
4	4)	Employee counseling files.
5	5)	Employees letters of reprimand(s).
6	6)	Employee Performance Evaluation form.
7	7)	Employee personnel packet/file.
8	8)	Department's Radio Code Assignment Roster.
9	9)	Corrections Official Badge Assignment Roster.
10	10)	Employees time sheet/pay records.
11	11)	Employees identification card file.
12	12)	Employees notification of personnel action.
13	13)	Legal proceedings/actions against employees.
14	14)	Employer investigation reports.
15	15)	Any document showing Social Security number with the
16		person's name.
17	16)	Certification list of eligibles.
18	17)	Employment interview/certification list.
19	18)	Personnel incident reports.
20	19)	Payroll deductions/cancellation authorization.
21	20)	Employment verification.
22	21)	Financial statement/check stubs.
23	22)	Checking account/bank statements.
24	B) De	tention Facility Division Records.
25	1)	Detainee Unit Transfer.
26	2)	Detainee Incident Report.

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1 3	)	Detainee Medication Dispensing Log.
2 4	)	Detainee Medical Records.
3 5	)	Detainee Telephone Log.
4 6	)	Detainee Request for Administrative Remedy.
5 7	<b>'</b> )	Detainee Cell/Wing/Cubicle Transfer.
6 8	3)	Detainee Observation Report.
7 9	9)	Detainee U.S. Mail Log.
8 1	.0)	Escort/Transport-Prisoner Custody Alert Notice.
9 1	1)	Prisoner Remand or Order to Deliver and Receipt for Guam
10		Prisoners.
11 1	12)	Visiting Application Form.
12 1	13)	Detainee/Inmate Incident Report.
13 1	14)	Suicide/Safety Watch Recording Log.
14	15)	Administrative Segregation and Release Order.
15	16)	Administrative Segregation Review Form.
16	17)	Special Housing Unit Segregation Record Sheet.
17	18)	Transfer Order.
18	19)	Administrative Remedy-Informal Resolution Form.
19	20)	Arrestee Booking Record.
20	21)	Arrestee Fingerprint Card.
21	22)	Arrestee Intake Sheet.
22	23)	Arrestee Screening Officer Check List.
23	24)	Arrestee Commitment Sheet.
24	25)	Arrestee Receiving Screening Form.
25	26)	Arrestee Waiver for Medical Treatment.
26	27)	Citation and Notice to Appear.

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1		28)	Transfer of Custody Forms.
2		29)	Pre-trial Detention Certificate.
3		30)	Detainee Arrestee Index Cards.
4		31)	Detainee Medical Records.
5		32)	Detainee Court Documents.
6	C)	Adu	lt Correctional Facility Division Records
7		1)	Inmate Unit Transfer.
8		2)	Inmate Incident Report.
9		3)	Inmate Medication Dispensing Log.
10		4)	Inmate Telephone Log.
·· 11		5)	Inmate Request for Administrative Remedy.
12		6)	Inmate Cell/Wing/Cubicle Transfer.
13		7)	Inmate Observation Report.
14		8)	Inmate U.S. Mail Log.
15		9)	Escort/Transport-Prisoner Custody Alert Notice.
16		10)	Prisoner Remand or Order to Deliver and Receipt for Guam
17			Prisoners.
18		11)	Visiting Application Form.
19		12)	Detainee/Inmate Incident Report.
20		13)	Suicide/Safety Watch Recording Log.
21		14)	Administrative Segregation and Release Order.
22		15)	Administrative Segregation Review Form.
23		16)	Special Housing Unit Segregation Record Sheet.
24		17)	Transfer Order.
25		18)	Administrative Remedy-Informal Resolution Form.

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1	D)	Parol	le Services Division Records
2		1)	Board ordered parole investigations.
3		2)	Chronological case entries.
4		3)	Community Assessments Forms and written testimony of
5			crime victims and interested parties.
6		4)	Minutes of Guam Parole Board meetings.
7		5)	Pardon/Commutation Studies.
8		6)	Personnel Incident File.
9		7)	Personnel Performance Evaluations.
10		8)	Preparole Studies.
11		9)	Parole Services Division Work Sheet Form I.
12		10)	Surveillance Reports.
13	E)	DAT	IS Division Records
14		1)	Inmate/detainee medical records.
15		2)	Medical history.
16		3)	Laboratory results.
17		4)	Chest x-rays.
18		5)	Physical examinations.
19		6)	Nurse's health assessment.
20		7)	Informed consent.
21		8)	Physician's orders.
22		9)	Medication logbook record.
23		10)	Physician's progress notes.
24		11)	Medical staff progress notes.
25		12)	Inmate/detainee dental records.
26		13)	Dental assessment history.

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1		14)	Dental x-rays.
2		15)	Dental treatment performed.
3		16)	Health questionnaires.
4		17)	Dentist's orders.
5		18)	Inmate/detainee psychological record.
6		19)	Substance abuse record.
7		20)	Psychological assessments.
8		21)	Substance abuse assessments/intake.
9		22)	Psychologist's consultation/assessment.
10		23)	Psychologist's progress notes.
11		24)	Counselor's progress notes.
12		25)	Clinical evaluations/assessments review.
13		26)	Psychological evaluations.
14		27)	Psychological testings.
15		28)	Drug test results.
16		29)	Drug test logbook record.
17	F)	Inte	rnal Affairs Unit Records
18		1)	Intelligence reports.
19		2)	Radio logs and tapes.
20		3)	Internal Affairs investigation reports.
21		4)	Narcotics operation records.
22		5)	All Department of Corrections daily log books.
23		6)	Department of Corrections security procedures and
24			techniques, which if exposed would adversely affect
25			correctional objectives.

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1		7)	Confidential correspondence between the Department of
2			Corrections and other agencies, local and federal.
3		8)	Records of a criminal or confidential nature received by the
4			Department of Corrections from other local and federal
5			agencies.
6		9)	Privileged communications between legal counsel for the
7			Department of Corrections and Department of Corrections
8			management/staff.
9		10)	Adverse action settlement agreements between the
10			Department of Corrections management and staff.
11		11)	Records pertaining to government claims filed against the
12			Department of Corrections.
13		12)	Records pertaining to investigations conducted by the
14			Department of Corrections Internal Affairs Unit.
15	G)	Case	ework & Counseling Services Division Records
16		1)	Academic grades of inmates.
17		2)	Correction Social Worker's Chronological Log
18		3)	Family interview log.
19		4)	Inmate profile sheet.
20		5)	Internal memoranda.
21		6)	Guam Police Department rap sheet/police reports.
22		7)	Pre-sentence investigation report.
23		8)	Initial case study.
24		9)	Extension Limits of Confinement application/verification
25			log.
26		10)	Progress reports for courts or other government agencies.

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1	11)	Inmate work detail evaluation.
2	12)	Casework & Counseling Division status reports.
3	13)	Adjustment Classification Committee findings &
4		recommendations.
5	14)	Incarcerated inmates' address of family, relatives and
6		friends.
7	15)	Incarcerated inmates' name(s) of co-defedant.
8	16)	Work/Education Release inmates' infractions of
9		institutional rules and/or regulations.
10	17)	Director/Employer and/or Education Release agreement
11		signed by Work/Education Release inmate.

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CARL T.C. GUTIERREZ Governor MADELEINE Z. BORDALLO Lieutenant Governor

ANGEL R. SABLAN Director of Corrections TED R. LEWIS

Deputy Director

MEMORANDUM.

To: Senator John Salas

From: Deputy Director

Subject: Response to Inquiry

RE: Sunshine Act

The following bullet points were addressed at our meeting with you on August 31, 1999.

DEPARTMENT OF CORRECTIONS DIPÅTTAMENTON MANGNGURIHI P.O. Box 3236 Hagatña, Guam 96932 Phone: (671) 734-3981-9 Fax: (671) 734-5798

August 30, 1999

### Internal Affairs Unit:

- Q: Adverse Action Settlement Agreement between Department of Correction Management and Staff.
- A: This information may be obtained at the Civil Service Commission, as they make the settlement agreements. Also, any agreement would become part of the employee's personnel file.

### Casework/Counseling Division:

- Q: Extension of Limits of Confinement (ELOC) Application/Verification Log.
- A: Information in this application contains information about family members personal matters such as names, addresses, medical information of the person to be visited.

### Personnel Officer:

Delete the following: 2, 22, 25, 26 and 27.



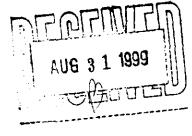
FRANCISCO 8. CRISOSTOMO Warden

MICHAEL P. QUINATA Chief Parole Officer

JOSEPH G. CHARGUALAF Administrator, CCSD

DANIEL A. DUENAS Administrator, Forensic Unit

LUIIS M. PAULINO Administrative Services Officer





MEMORANDUM TO SENATOR JOHN SALAS RE: Response to Inquiry regarding Sunshine Act Page 2

As for Item 14, it falls under the same justification as the request of Internal Affairs Unit.

Submitted for your information.

TED R. LEWIS

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# GOVERNMENT OF GUAM

Refer to Legiciarive Secretary

July 09, 1999

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### MEMORANDUM

To: The Honorable Antonio R. Unpingco, Speaker Twenty-Fifth Guam Legislature

- From: Director of Corrections
- Subject: Sunshine Reform Act of 1999 (P.L. 25-06)

In compliance with the passage of the Sunshine Reform Act of 1999 (Public Law 25-06).The Department of Corrections submits for your approval the attached listing of information which are considered non-public and non-disclosable for public records.

Angel R. Sablan

CC: Governor's Office Attorney General

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OFFICE OF THE LEGGELATIONS SECRETARY
Readined Dy Anarth
Time 5:05 pm
Date 7.12.99

Office of the Speaker	
ANTONIO R. UNPINGCO Date: 12 199	
Date: 12 1941	_
Time: 4.00 pm.	
Rec'd by:	
Print Name: ( ipck)	





# GOVERNMENT OF GUAM

### June 16, 1999

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### MEMORANDUM

- To: Deputy Director
- Via: Administrative Services Officer

From: Personnel Officer

Subject: Sunshine Reform Act of 1999 (P. L. 25-06)

As per instruction from the Director, the following information listed below is submitted from this office which are considered employees privilege/non-disclosable information for public access:

- 1. Request for personnel action (GG-1)
- 2. Department's staffing pattern
- 3. Personnel Data card and Personnel Statistical Information Sheet.
- 4. Adverse Action Proceedings
- 5. Employee Counseling Files
- 6. Employees Letter of Reprimand(s)
- 7. Employee Performance Evaluation Form
- 8. Employee Personnel Jacket/File
- 9. Department's Radio Code Assignment Roster
- 10. Corrections Official Badge Assignment Roster
- 11. Employees Time Sheet/Pay Records
- 12. Employees Identification Card File
- 13. Employees Notification of Personnel Action
- 14. Civil Service Commission Ruling/Settlement Agreement
- 15. Legal Proceedings/Actions against employees
- 16. Employer Investigation Reports
- 17. Any Document showing Social Security number with the persons names.
- 18. Certification List of Eligibles.
- 19. Employment Interview/Certification List.
- 20. Personnel Incident Reports.
- 21. Leave Forms.
- 22. Overtime Justifications.
- 23. Payroll Deduction/Cancellation Authorization.
- 24. Employment Verification.



Page - 2 Sunshine Reform Act of 1999 (P.L. 25-06)

- 25. Vouchers from various vendors.
- 26. Requisitions/Log Book.
- 27. Purchase Orders/Vouchers.
- 28. Financial Statement/Check Stubs.
- 29. Checking Account/Bank Statements.

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Carl T.C. Gutierrez Governor

Madeleine Z. Bordallo Lieutenant Governor

Angel A.R. Sablan Director (Acting)

Ted R. Lewis Deputy Director

### DEPARTMENT OF CORRECTIONS DIPÅTTAMENTON MANGNGUR GOVERNMENT OF GUAM P.O. Box 3236 Hagåtña, Guam 96932

Tel: (671) 734-3981/9

Fax: (671) 734-4490



Francisco B. Crisostomo Warden

Michael P. Quinata Chief Parole Officer

Joseph G. Chargualaf Administrator, OCSD

Daniel A. Duenas Administrator, Forensic Ur

Luis M. Paulino Administrative Services Of

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June 16, 1999

### MEMORANDUM

To: Deputy Director

From: Guam Detention Facility Commander

Subject: Compliance with the Sunshine Reform

Reference: List of Confidential Forms

Listed below is the list of confidential forms which is required by the Sunshine Reform Act of 1999:

Detainee Unit Transfer O Detainee Incident Report Detainee Medication Dispensing Log Detainee Medical Records Detainee Telephone Log • Detainee Request for Administrative Remedy <sup>°</sup> Detainee Cell/Wing/Cubicle Transfer C Detainee Observation Report ċ Detainee US MAIL Log Escort/Transport-Prisoner Custody Alert Notice Prisoner Remand or Order to Deliver and Receipt for Guam Prisoners Visiting Application Form Detainee/Inmate Incident Report Suicide/Safety Watch Recording Log Administrative Segregation and Release Order -Administrative Segregation Review Form Special Housing Unit Segregation Record Sheet Transfer Order



Administrative Remedy - Informal Resolution Form

⊘Arrestee Booking Record

C Arrestee Fingerprint Card

○ ∴Arrestee Intake Sheet

Arrestee Screening Officer Check List

p (Arrestee Commitment Sheet)

Arrestee Receiving Screening Form

Sc Arrestee Waiver For Medical Treatment

Citation and Notice To Appear

Transfer Of Custody Forms

Pre-Trial Detention Certificate

Detainee Arrestee Index Cards

<sup>2</sup> Detainee Medical Records

Detainee Court Documents

Pursuant to Executive Order 94-19, Chapter 6, Section 6.4 CONFIDENTIALITY OF INMATE (DETAINEE FILES), (A), and (B) any inmate(detainee) file is confidential and shall not be surrendered to any person or agency without the Directors approval.

Submitted as requested.

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Captain

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GOVERNMENT OF GUAM AGANA, GUAM 96932

### June 11, 1999

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### MEMORANDUM

To: Deputy Director

From: Warden

Subject: Compliance with the Sunshine Reform Act of 1999 (P.L. 25-06)

Reference: List of Confidential Forms

Listed below is the list of confidential forms which is required by the Sunshine Reform Act of 1999:

Inmate Unit Transfer Inmate Incident Report **Inmate Medication Dispensing Log** Inmate Telephone Log Inmate Request for Administrative Remedy Inmate Cell/Wing/Cubicle Transfer **Inmate Observation Report** Inmate US MAIL Log Escort/Transport - Prisoner Custody Alert Notice Prisoner Remand or Order to Deliver and Receipt for Guam Prisoners Visiting Application Form **Detainee/Inmate Incident Report** Suicide/Safety Watch Recording Log Administrative Segregation and Release Order Administrative Segregation Review Form **Special Housing Unit Segregation Record Sheet** Transfer Order **Administrative Remedy - Informal Resolution Form** 

Pursuant to Executive Order 94-19, Chapter 6, Section 6.4. CONFIDENTIALITY OF INMATE FILES, (A), and (B), any inmate file is confidential and shall not be surrendered to any person or agency without the Director's approval.

Submitted as requested.

FRANCISCO B. CRISOSTOMO Major COMMONWEALTH NOW!

PAROLE SERVICES DIVISION Guam Department of Corrections P.O. Box 3236 Hagåtña, Guam 96932 (671)473-7001 Fax:(671)473-7009

Public and Non-Public Documents for purposes of the Freedom of Information Act; 1999

#### PUBLIC DOCUMENTS

- 1. Authorizations: Driving, Curfew, Residence, Employment, Off-Island
- 2. Case Review Summary (on parolees)
- 3. Computation Sheet
- 4. Job Specification
- 5. Judgement/Orders of the Board
- 6. Monthly Reports (parolees)
- 7. Parolee Cardex/Picture
- 8. Parole Certificate
- 9. Parole Conditions
- 10. Parole Discharge
- 11. PSD Annual Reports
- 12. PSD Caseload Assignments
- 13. PSD Equipment Inventory
- 14. PSD Daily Sign in/Sign Out Sheet
- 15. PSD FY Budget Folder
- 16. PSD Manual of Operation
- 17. PSD Memo File
- 18. PSD Monthly Reports
- 19. Position Descriptions

#### NON-PUBLIC DOCUMENTS

- 1. Board Ordered Parole Investigations
- 2. Chronological case entries
- 3. Community Assessments Forms and Written Testimony of Crime Victims and Interested parties
- 4. Minutes of GPB Meetings
- 5. Pardon/Commutation Studies
- 6. Personnel Incident File
- 7. Personnel Performance Evaluations
- 8. Preparole Studies
- 9. PSD Work Sheet Form I
- 10. Surveillance Reports



### GOVERNMENT OF GUAM AGANA, GUAM 96932

June 3, 1999

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### MEMORANDUM

From: DATS Adminstrator

Subject: Compliance with the Sunshine Reform Act of 1999 (P.L. 25-06)

Per our Director's instruction, I am submitting our Division's Lists of what we considered to be non-public and non-disclosure records.

### MEDICAL UNIT

- 1. Inmate/detainee medical records
- 2. Medical history
- 3. Laboratory results
- 4. Chest x-rays
- 5. Physical examination
- 6. Nurse's health assessment
- 7. Informed consent
- 8. Physician's orders
- 9. Medication logbook record
- 10. Physician's progresss notes
- 11. Mecidal staff progress notes

### DENTAL UNIT

- 1. Inmate / Detainee Dental records.
- 2. Dental assessment and history.
- 3. Dental X-Rays.
- 4. Dental Treatment Performed.
- 5. Health Questionaires.
- 6. Dentist's Orders.

### FORENSIC UNIT

1. Inmate / Detainee Psychological record.



2. Subsatance Abuse record.

- 3. Psychological assessments.
- 4. Substance Abuse Assessments / Intake
   5. Psychologist's Consultation / Assessments
   6. Psychologist's Progress Notes
   7. Counselor's ProgressNotes

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- 8 Clinical Evaluations/Assessments Review
- 9. Psychological Evaluations

- Psychological Testings
   Drug Test Results
   Drug Test Logbook Record



GOVERNMENT OF GUAM AGANA, GUAM 96932

June 15,1999

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#### Memorandum

To:	Deputy	Director
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From: Internal Affairs Unit Officer in Charge

Subject: Compliance with Sunshine Reform Act of 1999 (P.L. 25-06)

Per the Directors instruction, I am submitting the Units List of what is considered to be non-public and non-disclosure records.

Intelligence Reports

All radio logs and tapes

Internal Affairs Investigation Reports

**Narcotics Operation Records** 

All DOC Daily log books

DOC Security procedures and techniques, which if exposed would adversely affect correctional objectives.

Confidential correspondence between DOC and other agencies, local and/or federal.

Records of a criminal or confidential nature received by DOC from other local and/or federal agencies.



Privileged communications between legal counsel for DOC and DOC management/staff.

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Adverse action settlement agreements between DOC management and staff.

Records pertaining to government claims filed against DOC.

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Records pertaining to investigations conducted by the DOC Internal Affairs Unit.



#### GOVERNMENT OF GUAM AGANA, GUAM 96932

June 10, 1999

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### MEMORANDUM

To: Deputy Director of Corrections

From: CSW Administrator

Subject: Listing of Non-Public Records

In response to the May19, 1999 memorandum from the Acting Attorney General subject to Compliance with the Sunshine Reform Act of 1999 (P.L. 25-06) attached is a listing of the Casework Divisions' non-public records.

Submitted for your review.

Attachment

JOSEPH G. CHARGUALAF



### CASEWORK & COUNSELING SERVICES DIVISION Department of Corrections

### LISTING OF NON-PUBLIC RECORDS

#### NON-PUBLIC RECORD

Nø.	RECORD	USE/DESCRIPTION		
1.	Academic Grades of Inmates	Refer to Learning Institution policy		
2.	Correction Social Worker's Chronological Log	Meeting/Counseling with inmates		
<u></u> 3.	Family Interview Log	Meeting with inmate's families/friends for case study and treatment plans		
4.	Inmate Profile Sheet	Listing of victim(s); address(es); telephone number(s)		
5.	Internal Memoranda	Status of inmates' in confinement, Alert Notices, etc.,		
6.	Guam Police Department Rap Sheet/Police Reports	Refer to Guam Police Department policy		
7.	Pre-Sentence Investigation Report	Refer to Superior Court Policy and Public Law		
8.	InitIal Case Study	Inmate classification purposes		
9.	Extension Limits of Confinement Application/Verification Log	Contacts made by CSW with family/Organizations		
10.	Progress Reports for Courts or other Government Agencies	Specifics on inmates progress for use by Lawyers, Court Officials for legal matters		
11.	Inmate Work Detail Evaluation			
12.	Casework & Counseling Division Status Reports			
13	Adjustment Classification Committee Findings & Recommendation	Listing of treatment plans, progress made by inmate(s) and recommendation to be taken		
<b>\</b> _	NON-PUBLIC INFORMATION/RECO	RDS (Pertaining to Incarcerated Inmates)		

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1.	Address of family, relatives and friends	
2.	Name(s) of co-defendant	

### NON-PUBLIC DOCUMENTS (Work /Education Release Inmates)

1.	Any infractions of institutional rules and/or regulations	
2.	Director/Employer and/or Education Release Agreement signed by the inmate	

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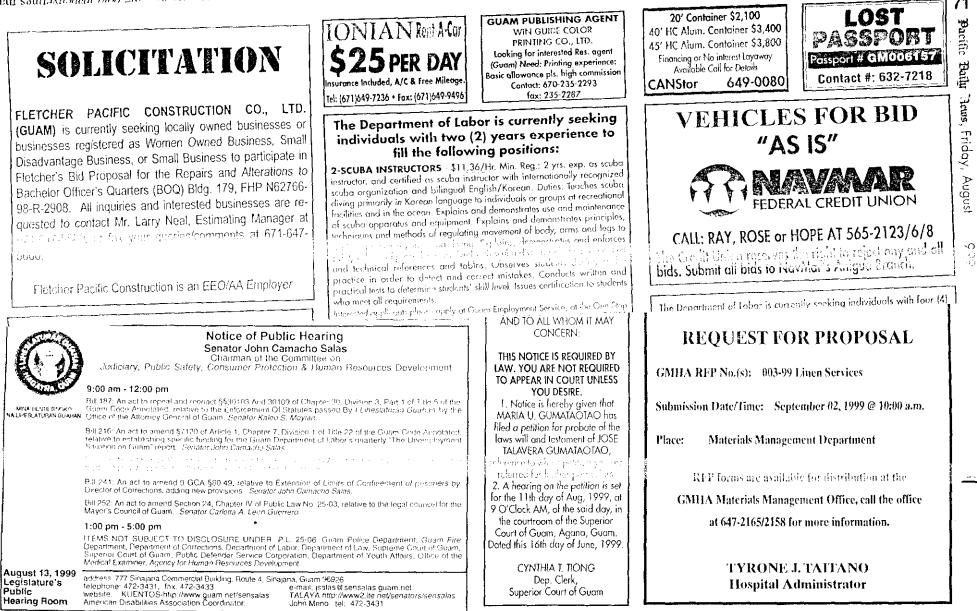
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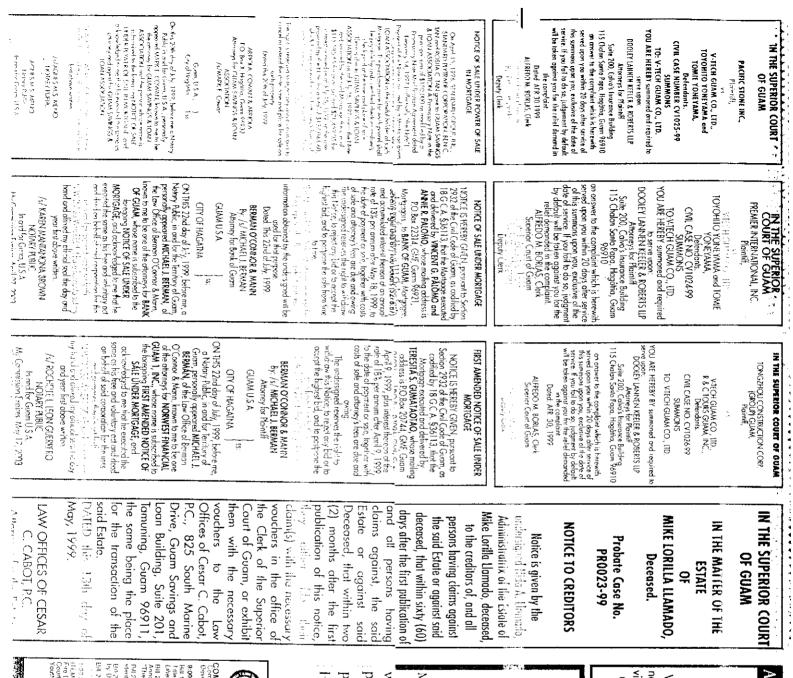
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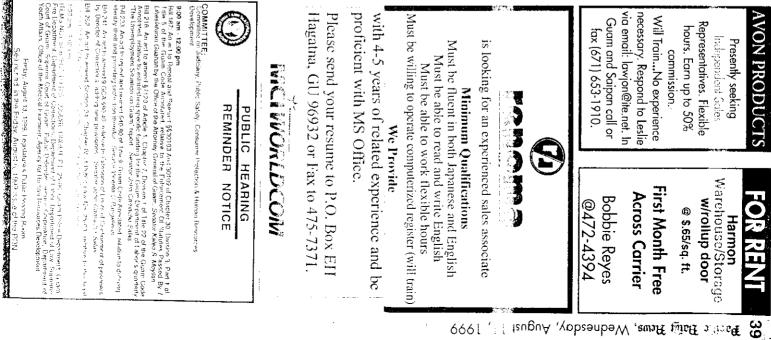
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COMMITTEE ON JUDICIARY, PUBLIC SAFETY, CONSUMER PROTECTION, AND HUMAN RESOURCES DEVELOPMENT I MINA'BENTE SINGKO NA LIHESLATURAN GUÅHAN

JOHN CAMACHO SALAS, CHAIRMAN

# PUBLIC HEARING AGENDA

# Friday, August 13, 1999 Legislature's Public Hearing Room

# 9:00 am - 12:00 pm

Bill 187: An act to repeal and reenact §§30103 and 30109 of Chapter 30, Division 3, Part 1 of Title 5 of the Guam Code Annotated, relative to the Enforcement Of Statutes passed by I Liheslaturan Guahan by the Office of the Attorney General of Guam. *Senator Kaleo S. Moylan*.

Bill 216: An act to amend §7120 of Article 1, Chapter 7, Division 1 of Title 22 of the Guam Code Annotated, relative to establishing specific funding for the Guam Department of Labor's quarterly "The Unemployment Situation on Guam" report. *Senator John C. Salas.* 

Bill 233: An act to repeal and reenact §46.80 of Title 9, Guam Code Annotated, relative to defining identity theft and providing protection therefor. *Senator Vicente C. Pangelinan*.

Bill 241: An act to amend 9 GCA §80.49, relative to Extension of Limits of Confinement of prisoners by Director of Corrections; adding new provisions. *Senator John C. Salas*.

Bill 252: An act to amend Section 24, Chapter IV of Public Law No. 25-03, relative to the legal counsel for the Mayor's Council of Guam. *Senator Carlotta A. Leon Guerrero*.

# 1:00 pm - 5:00 pm

ITEMS NOT SUBJECT TO DISCLOSURE UNDER P.L. 25-06: Guam Police Department, Guam Fire Department, Department of Corrections, Department of Labor, Department of Law, Supreme Court of Guam, Superior Court of Guam, Public Defender Service Corporation, Department of Youth Affairs, Office of the Medical Examiner, Agency for Human Resources Development.



# S LNATOR JOHN CAMACIL J SALAS

Committee on Judiciary, Public Safety, Consumer Protection and Human Resources Development

# **TESTIMONY SIGN IN SHEET**

### Friday, August 13, 1999

Bill Number Disclosure

Title

DEPARTMENT OF CORRECTIONS- Items subject to disclosure under Public Law 25-06

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COMMITTEE ON JUDICIARY, PUBLIC SAFETY, CONSUMER PROTECTION, AND HUMAN RESOURCES DEVELOPMENT I MINA'BENTE SINGKO NA LIHESLATURAN GUAHAN

JOHN CAMACHO SALAS, CHAIRMAN



September 15, 1999

### MEMORANDUM

To: Director, Bureau of Budget & Management Research

From: Senator John Camacho Salas, Chairman

Subject: Request for Fiscal Notes

Please find attached Committee Bills 303, 304, 305, 306, 307, 308, 309, 310, and 311 for which I respectfully request issuance of **Fiscal Notes**.

Your issuance of this fiscal note will be greatly appreciated. Thank you for your very kind assistance.

Jøhn Camacho Salas

Attachments

Minir Outer

SEP 0 8 1999

## MINA'BENTE SINGKO NA LIHESLATURAN GUAHAN 1999 (FIRST) Regular Session

# Bill No. <u>304</u> (COR)

Introduced by:

I.C. Salas Jak

### AN ACT TO APPROVE THE LIST OF SUNSHINE REFORM ACT EXEMPTIONS SUBMITTED BY THE DEPARTMENT OF CORRECTIONS.

### **1 BE IT ENACTED BY THE PEOPLE OF GUAM:**

2 Section 1. Legislative Findings and Intent. The Sunshine Reform Act 3 of 1999 became Public Law 25-06 on May 12, 1999 changing Guam statute governing the disclosure of public records. Public Law 25-06 requires that 4 5 each agency submit to I Liheslaturan Guahan a proposed list of exemptions to 6 the public disclosure requirements. In accordance with Section 10106(b) of 7 Public Law 25-06, the Department of Corrections, submitted to I Liheslaturan 8 *Guahan* a list of documents said agency would like to see exempted from the 9 disclosure requirements of the Sunshine Reform Act. Section 10106(b) of 10 Public Law 25-06 further requires I Liheslaturan Guahan to either approve or 11 disapprove said list within ninety days after submission.

Section 2. The list of proposed exemptions to the Sunshine Reform Act
submitted by the Department of Corrections is hereby approved.

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DEPARTMENT OF CORRECTIONS ITEMS NOT SUBJECT TO DISCLOSURE A) Administrative Records

17

1) Request for personnel action (GG-1).

1		2)	Personnel data card and personnel statistical information
2		,	sheet.
3		3)	Adverse action proceedings.
4		4)	Employee counseling files.
5		5)	Employees letters of reprimand(s).
6		6)	Employee Performance Evaluation form.
7		7)	Employee personnel packet/file.
8		8)	Department's Radio Code Assignment Roster.
9		9)	Corrections Official Badge Assignment Roster.
10		10)	Employees time sheet/pay records.
11		11)	Employees identification card file.
12		12)	Employees notification of personnel action.
13		13)	Legal proceedings/actions against employees.
14		14)	Employer investigation reports.
15		15)	Any document showing Social Security number with the
16			person's name.
17		16)	Certification list of eligibles.
18		17)	Employment interview/certification list.
19		18)	Personnel incident reports.
20		19)	Payroll deductions/cancellation authorization.
21		20)	Employment verification.
22		21)	Financial statement/check stubs.
23		22)	Checking account/bank statements.
24	B)	Dete	ention Facility Division Records.
25		1)	Detainee Unit Transfer.
26		2)	Detainee Incident Report.

1	3)	Detainee Medication Dispensing Log.
2	4)	Detainee Medical Records.
3	5)	Detainee Telephone Log.
4	6)	Detainee Request for Administrative Remedy.
5	7)	Detainee Cell/Wing/Cubicle Transfer.
6	8)	Detainee Observation Report.
7	9)	Detainee U.S. Mail Log.
8	10)	Escort/Transport-Prisoner Custody Alert Notice.
9	11)	Prisoner Remand or Order to Deliver and Receipt for Guam
10		Prisoners.
11	12)	Visiting Application Form.
12	13)	Detainee/Inmate Incident Report.
13	14)	Suicide/Safety Watch Recording Log.
14	15)	Administrative Segregation and Release Order.
15	16)	Administrative Segregation Review Form.
16	17)	Special Housing Unit Segregation Record Sheet.
17	18)	Transfer Order.
18	19)	Administrative Remedy-Informal Resolution Form.
19	20)	Arrestee Booking Record.
20	21)	Arrestee Fingerprint Card.
21	22)	Arrestee Intake Sheet.
22	23)	Arrestee Screening Officer Check List.
23	24)	Arrestee Commitment Sheet.
24	25)	Arrestee Receiving Screening Form.
25	26)	Arrestee Waiver for Medical Treatment.
26	27)	Citation and Notice to Appear.

1		28)	Transfer of Custody Forms.
2		29)	Pre-trial Detention Certificate.
3		30)	Detainee Arrestee Index Cards.
4		31)	Detainee Medical Records.
5		32)	Detainee Court Documents.
6	C)	Adu	lt Correctional Facility Division Records
7		1)	Inmate Unit Transfer.
8		2)	Inmate Incident Report.
9		3)	Inmate Medication Dispensing Log.
10		4)	Inmate Telephone Log.
11		5)	Inmate Request for Administrative Remedy.
12		6)	Inmate Cell/Wing/Cubicle Transfer.
13		7)	Inmate Observation Report.
14		8)	Inmate U.S. Mail Log.
15		9)	Escort/Transport-Prisoner Custody Alert Notice.
16		10)	Prisoner Remand or Order to Deliver and Receipt for Guam
17			Prisoners.
18		11)	Visiting Application Form.
19		12)	Detainee/Inmate Incident Report.
20		13)	Suicide/Safety Watch Recording Log.
21		14)	Administrative Segregation and Release Order.
22		15)	Administrative Segregation Review Form.
23		16)	Special Housing Unit Segregation Record Sheet.
24		17)	Transfer Order.
25		18)	Administrative Remedy-Informal Resolution Form.

1	D)	Paro	le Services Division Records
2		1)	Board ordered parole investigations.
3		2)	Chronological case entries.
4		3)	Community Assessments Forms and written testimony of
5			crime victims and interested parties.
6		4)	Minutes of Guam Parole Board meetings.
7		5)	Pardon/Commutation Studies.
8		6)	Personnel Incident File.
9		7)	Personnel Performance Evaluations.
10		8)	Preparole Studies.
11		9)	Parole Services Division Work Sheet Form I.
12		10)	Surveillance Reports.
13	E)	DAT	rs Division Records
14		1)	Inmate/detainee medical records.
15		2)	Medical history.
16		3)	Laboratory results.
17		4)	Chest x-rays.
18		5)	Physical examinations.
19		6)	Nurse's health assessment.
20		7)	Informed consent.
21		8)	Physician's orders.
22		9)	Medication logbook record.
23		10)	Physician's progress notes.
24		11)	Medical staff progress notes.
25		12)	Inmate/detainee dental records.
26		13)	Dental assessment history.

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1		14)	Dental x-rays.
2		15)	Dental treatment performed.
3		16)	Health questionnaires.
4		17)	Dentist's orders.
5		18)	Inmate/detainee psychological record.
6		19)	Substance abuse record.
7		20)	Psychological assessments.
8		21)	Substance abuse assessments/intake.
9		22)	Psychologist's consultation/assessment.
10		23)	Psychologist's progress notes.
11		24)	Counselor's progress notes.
12		25)	Clinical evaluations/assessments review.
13		26)	Psychological evaluations.
14		27)	Psychological testings.
15		28)	Drug test results.
16		29)	Drug test logbook record.
17	F)	Inte	rnal Affairs Unit Records
18		1)	Intelligence reports.
19		2)	Radio logs and tapes.
20		3)	Internal Affairs investigation reports.
21		4)	Narcotics operation records.
22		5)	All Department of Corrections daily log books.
23		6)	Department of Corrections security procedures and
24			techniques, which if exposed would adversely affect
25			correctional objectives.

1		-71	Confidential expression large between the Dependences of
1		7)	Confidential correspondence between the Department of
2			Corrections and other agencies, local and federal.
3		8)	Records of a criminal or confidential nature received by the
4			Department of Corrections from other local and federal
5			agencies.
6		9)	Privileged communications between legal counsel for the
7			Department of Corrections and Department of Corrections
8			management/staff.
9		10)	Adverse action settlement agreements between the
10			Department of Corrections management and staff.
11		11)	Records pertaining to government claims filed against the
12			Department of Corrections.
13		12)	Records pertaining to investigations conducted by the
14			Department of Corrections Internal Affairs Unit.
15	G)	Case	ework & Counseling Services Division Records
16		1)	Academic grades of inmates.
17		2)	Correction Social Worker's Chronological Log
18		3)	Family interview log.
19		4)	Inmate profile sheet.
20		5)	Internal memoranda.
21		6)	Guam Police Department rap sheet/police reports.
22		7)	Pre-sentence investigation report.
23		8)	Initial case study.
24		9)	Extension Limits of Confinement application/verification
25			log.
26		10)	Progress reports for courts or other government agencies.

1	11)	Inmate work detail evaluation.
2	12)	Casework & Counseling Division status reports.
3	13)	Adjustment Classification Committee findings &
4		recommendations.
5	14)	Incarcerated inmates' address of family, relatives and
6		friends.
7	15)	Incarcerated inmates' name(s) of co-defedant.
8	16)	Work/Education Release inmates' infractions of
9		institutional rules and/or regulations.
10	17)	Director/Employer and/or Education Release agreement
11		signed by Work/Education Release inmate.